

## Exhibit 300: Capital Asset Summary

### Part I: Summary Information And Justification (All Capital Assets)

#### Section A: Overview & Summary Information

**Date Investment First Submitted:** 2010-09-17  
**Date of Last Change to Activities:** 2011-11-30  
**Investment Auto Submission Date:** 2012-02-27  
**Date of Last Investment Detail Update:** 2012-02-27  
**Date of Last Exhibit 300A Update:** 2012-08-31  
**Date of Last Revision:** 2012-05-31

**Agency:** 028 - Small Business Administration      **Bureau:** 00 - Agency-Wide Activity

**Investment Part Code:** 01

**Investment Category:** 00 - Agency Investments

**1. Name of this Investment:** OII: Small Business Innovative Research (SBIR)

**2. Unique Investment Identifier (UII):** 028-000008007

#### Section B: Investment Detail

- 1. Provide a brief summary of the investment, including a brief description of the related benefit to the mission delivery and management support areas, and the primary beneficiary(ies) of the investment. Include an explanation of any dependencies between this investment and other investments.**

The role of the SBA is to oversee and coordinate the efforts of the eleven (11) participating agencies in the SBIR/STTR program. The SBA coordinates the solicitation schedules at the participating agencies, and collects and maintains data that is required to assess the SBIR/STTR program and report to the U.S. Congress. The SBA SBIR program core responsibility consists of reporting number of rewards, amount of money spent, aside for small business set asides. The purpose of this investment is to meet statutory requirements, by way of enhancement of the existing public TechNet web site, to develop an SBIR Portal to provide a central repository for interested stakeholders to learn about the SBIR program and solicitations, and provide value for companies in Phases I-III of the program; provide the ability to segment site visitors into roles and create targeted splash/landing pages based on user interests and needs: Applicant, Awardees, Investor, Large Business Concern, SBIR Agencies, Other Interested Citizens; provide web-based Outreach tools to users, such as Conference Listings and Registration Links, Agency Information and Links, Blogging, Live-chat, Web-based Teleconferencing and SBIR Discussion Forums; provide a web-based Eligibility Kiosk to assist applicants in understanding the different eligibility requirements for each participating agency; and provide an area for Licensing Opportunities for investors and entrepreneurs.

- 2. How does this investment close in part or in whole any identified performance gap in support of the mission delivery and management support areas? Include an assessment of the program impact if this investment isn't fully funded.**

The SBIR.gov/TechNet investment closes many performance gaps in the SBIR program – including the ability to collect centralized performance metrics from participating Agencies on the program. Before the investment, the SBIR program at the SBA was collecting much of its information on paper, and the program office was unable to reconcile the paper-based annual reports submitted by Agencies with the information inputted into TechNet. Now, the project fills in the gap between the Agencies and TechNet. The investment and new system will have a centralized place to collect, catalogue, and display metrics for the program – publically and internally. Additionally, the transfer of SBIR.gov to the SBA from the NSF will continue to drive enhanced outreach to the public on the program.

- 3. Provide a list of this investment's accomplishments in the prior year (PY), including projects or useful components/project segments completed, new functionality added, or operational efficiency achieved.**

The first annual report for the new site will be collected in its entirety online through our Administrative portal. “Canned” reports will be published for internal use. Commercialization data from Agencies will be published in a reporting format internally.

- 4. Provide a list of planned accomplishments for current year (CY) and budget year (BY).**

The CY will also include enhancements to SBIR program as a result of the program's reauthorization.

- 5. Provide the date of the Charter establishing the required Integrated Program Team (IPT) for this investment. An IPT must always include, but is not limited to: a qualified fully-dedicated IT program manager, a contract specialist, an information technology specialist, a security specialist and a business process owner before OMB will approve this program investment budget. IT Program Manager, Business Process Owner and Contract Specialist must be Government Employees.**

2011-07-08

## Section C: Summary of Funding (Budget Authority for Capital Assets)

1.

Table I.C.1 Summary of Funding

	PY-1 & Prior	PY 2011	CY 2012	BY 2013
Planning Costs:	\$0.0	\$0.0	\$0.0	\$0.0
DME (Excluding Planning) Costs:	\$1.4	\$0.0	\$0.0	\$1.0
DME (Including Planning) Govt. FTEs:	\$0.1	\$0.5	\$0.0	\$0.0
Sub-Total DME (Including Govt. FTE):	\$1.5	\$0.5	0	\$1.0
O & M Costs:	\$0.0	\$0.4	\$0.4	\$0.4
O & M Govt. FTEs:	\$0.0	\$0.0	\$0.1	\$0.1
Sub-Total O & M Costs (Including Govt. FTE):	0	\$0.4	\$0.5	\$0.5
Total Cost (Including Govt. FTE):	\$1.5	\$0.9	\$0.5	\$1.5
Total Govt. FTE costs:	\$0.1	\$0.5	\$0.1	\$0.1
# of FTE rep by costs:	1	1	1	1
Total change from prior year final President's Budget (\$)		\$0.4	\$0.2	
Total change from prior year final President's Budget (%)		77.00%	78.00%	

**2. If the funding levels have changed from the FY 2012 President's Budget request for PY or CY, briefly explain those changes:**

Change in funding levels are reflective of O&M costs identified after contract award. O&M costs previously calculated for PY2011 erroneously did not include hosting and support costs during iterative development. O&M costs for CY2012 and beyond reflect Steady State costs.

## Section D: Acquisition/Contract Strategy (All Capital Assets)

Table I.D.1 Contracts and Acquisition Strategy

Contract Type	EVM Required	Contracting Agency ID	Procurement Instrument Identifier (PIID)	Indefinite Delivery Vehicle (IDV) Reference ID	IDV Agency ID	Solicitation ID	Ultimate Contract Value (\$M)	Type	PBSA ?	Effective Date	Actual or Expected End Date
Awarded	7300	SBAHQ10F026 3:2									

2. If earned value is not required or will not be a contract requirement for any of the contracts or task orders above, explain why:

## Exhibit 300B: Performance Measurement Report

### Section A: General Information

**Date of Last Change to Activities:** 2011-11-30

### Section B: Project Execution Data

**Table II.B.1 Projects**

Project ID	Project Name	Project Description	Project Start Date	Project Completion Date	Project Lifecycle Cost (\$M)
14209	PROJ: Small Business Innovative Research (SBIR)	The role of the SBA is to oversee and coordinate the efforts of the eleven (11) participating agencies in the SBIR/STTR program.			

**Activity Summary**

Roll-up of Information Provided in Lowest Level Child Activities

Project ID	Name	Total Cost of Project Activities (\$M)	End Point Schedule Variance (in days)	End Point Schedule Variance (%)	Cost Variance (\$M )	Cost Variance (%)	Total Planned Cost (\$M)	Count of Activities
14209	PROJ: Small Business Innovative Research (SBIR)							

**Key Deliverables**

Project Name	Activity Name	Description	Planned Completion Date	Projected Completion Date	Actual Completion Date	Duration (in days)	Schedule Variance (in days )	Schedule Variance (%)
14209	PROJ: Small Business Innovative Research (SBIR) - Project Initiation	Project Planning & Kick-off	2010-10-15		2010-10-15	21	0	0.00%

Key Deliverables								
Project Name	Activity Name	Description	Planned Completion Date	Projected Completion Date	Actual Completion Date	Duration (in days)	Schedule Variance (in days )	Schedule Variance (%)
14209	PROJ: Small Business Innovative Research (SBIR) - Project Management Activities	Project Management Support & Reporting	2011-09-30		2011-09-30	364	0	0.00%
14209	PROJ: Small Business Innovative Research (SBIR) - Post Deployment Maintenance & Operations Support	O&M	2011-11-11		2011-11-26	207	-15	-7.25%

## Section C: Operational Data

Table II.C.1 Performance Metrics

Metric Description	Unit of Measure	FEA Performance Measurement Category Mapping	Measurement Condition	Baseline	Target for PY	Actual for PY	Target for CY	Reporting Frequency
Reduce the number of data errors in Agencies' annual reports and TechNet Data per Agency.	percentage of errors	Mission and Business Results - Management of Government Resources	Under target	0.000000	0.000000	0.000000	50.000000	Semi-Annual
Establish and promote a customer portal for SBIR awardees/non-award ee data	number of visits to portal	Customer Results - Service Accessibility	Over target	0.000000	0.000000	0.000000	200.000000	Quarterly
Produce automated reporting for SBA administrators of the database	percentage of automated reports	Process and Activities - Management and Innovation	Over target	0.000000	0.000000	0.000000	90.000000	Quarterly
Increase the percentage of uptime of TECH-net database for viewing and upload of data	percentage uptime	Technology - Reliability and Availability	Over target	0.000000	0.000000	0.000000	95.000000	Monthly
Reduce number of exceptions in Agencies' input of award data	percentage of exceptions	Process and Activities - Quality	Under target	0.000000	0.000000	0.000000	50.000000	Semi-Annual